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JC 929 U.S. PRO
D9/987917

UTILITY PATENT APPLICATION TRANSMITTAL	
(Only for new nonprovisional applications under 37 CFR 1.53(b))	

Attorney Docket No 9512-002-27

First Inventor or Application Identifier Joel Brian DERRICO

Title CLUSTER COMPUTER NETWORK APPLIANCE WHITE PAPER

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.

2. Specification Total Pages **23**

3. Drawing(s) (35 U.S.C. 113) Total Sheets **5**

4. Oath or Declaration Total Pages **3**

- a. Newly executed (original)
- b. Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 16 completed)

5. Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy or the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

ACCOMPANYING DOCUMENTS

6. Assignment Papers (cover sheet & document(s))
7. 37 C.F.R. §3.73(b) Statement Power of Attorney
(when there is an assignee)
8. English Translation Document (if applicable)
9. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
10. Preliminary Amendment
11. White Advance Serial No. Postcard
12. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
13. Request for Priority
14. List of Inventors' Names and Addresses
15. Other: Check in the amount of \$665.00

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

Continuation Divisional Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner: Group Art Unit:

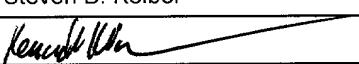
17. Amend the specification by inserting before the first line the sentence:

This application is a Continuation Division Continuation-in-part (CIP)
of application Serial No. Filed on

This application claims priority of provisional application Serial No. Filed

18. CORRESPONDENCE ADDRESS

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Name	Steven B. Kelber	Registration No.		30,073	
Signature		Date	November 16, 2001	Telephone	202-861-3900
Name	Kenneth K.D. Vu	Registration No.		46,323	

FEE TRANSMITTAL								Docket No	9512-002-27					
								Serial No.	NEW PATENT APPLICATION					
								Filing Date	HEREWITH					
								Inventor(s)	Joel Brian DERRICO et al.					
								Group Art Unit						
TOTAL AMOUNT OF PAYMENT			\$665.00		Examiner									
<p>1. <input checked="" type="checkbox"/> Applicant claims small entity status.</p> <p><input checked="" type="checkbox"/> Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442.</p> <p><input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.</p>								FEE CALCULATION (continued)						
								3. ADDITIONAL FEES						
								Large Entity	Small Entity	Fee Description				
2. <input checked="" type="checkbox"/> Check enclosed.								Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid	
FEE CALCULATION								105	130	205	65	Surcharge-late filing fee or oath		
1. BASIC FILING FEE								127	150	227	25	Surcharge-late provisional filing fee or cover sheet		
Large Entity		Small Entity		Fee Description				139	130	139	130	Non-English specification		
Fee Code	Fee (\$)	Fee Code	Fee (\$)					147	2520	147	2520	Ex parte reexam fee		
101	740	201	370	Utility filing fee				370.00	115	110	215	55	1-mo ext of time	
106	330	206	165	Design filing fee					116	400	216	200	2-mo ext of time	
107	510	207	255	Plant filing fee					117	920	217	460	3-mo ext of time	
108	740	208	370	Reissue filing fee					118	1440	218	720	4-mo ext of time	
114	160	214	80	Provisional filing fee					128	1960	228	980	5-mo ext of time	
SUBTOTAL (1)								\$370.00	119	320	219	160	Notice of Appeal	
2. EXTRA CLAIM FEES								120	320	220	160	Appeal Brief		
tot. claims	39	-	20*	=	19	x	\$9	=	171	121	280	221	140	Request for Oral Hearing
ind. claims	5	-	3*	=	2	x	\$42	=	84	142	1280	242	640	Utility/Reissue Issue Fee
<input type="checkbox"/>	Multiple Dependent Claims							\$140	=	143	460	243	230	Design Issue Fee
Large Entity		Small Entity		Fee Description				144	620	244	310	Plant Issue Fee		
Fee Code	Fee (\$)	Fee Code	Fee (\$)					122	130	122	130	Petitions to the Commissioner		
103	18	203	9	Claims in excess of 20				125	180	126	180	IDS Submission		
102	84	202	42	Independent claims in excess of 3				581	40	581	40	Assignment	40.00	
104	280	204	140	Multiple dependent claim, if not paid				179	740	279	370	For Filing RCE		
109	84	209	42	*Reissue independent claims over original patent				169	900	169	900	Expedited Design		
110	18	210	9	*Reissue claims in excess of 20 and over original patent				OTHER (Indicate below)						
SUBTOTAL (2)								\$255.00						
* or number previously paid, if greater, For Reissues, see above								SUBTOTAL (3)				\$40.00		

Name	Steven B. Kelber			Registration No.		30,073		
Signature				Date	November 16, 2001		Telephone	202-861-3900
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